

6WXGHQW)LQDQFLDO \$LG

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , 3

2YHUYLHZ

,3('6 6WXGHQW)LQDQFLDO \$LG &RPSRQHGW 2YHUYLHZ
3XEOLF \$FDGHPLF 5HSRUWHUV

:HOFRPH WR WKH 6WXGHQW)LQDQFLDO \$LG 6)\$ FRPSRQHGW 7KH SXUSRVH RI WKH 6
DERXW ILQDQFLDO DLG SURYLGHG WR YDULRXV JURXS RI XQGHUJUDGXDWK VWXGHQW
DOO VWXGHQWV DW \RXU LQVWLWXWLRQ

&KDQJHV WR 7KLV <HDU\ 6)\$ &RPSRQHGW
7KHUH ZHUH QR FKDQJHV LPSOHPHQWHG IRU WKH GDWD FROOHFWLRQ SHULRG

'DWD 5HSRUWLQJ 5HPLQGHUV

8QGHUJUDGXDWK 6WXGHQW *URXS
<RX ZLOO EH DVNHG WR UHSRUW LQIRUPDWLRQ IRU GLIIHUHQW JURXS RI VWXGHQW
*URXS \$OO XQGHUJUDGXDWK VWXGHQWV
*URXS 21 *URXS IXOO WLPH ILUVW WLPH GHJUHH FHUWLILFDWH VHHNLQJ VW
*URXS 21 *URXS VWXGHQWV ZKR SDLG WKH LQ VWDWH LQ GLVWULFW WXLWLR
DQ\ JUDQW VFKRODUVKLS DLG IURP WKH IHGHUO JRYHUQPHQW VWDWH ORFDO
LQVWLWXWLRQ
*URXS 21 *URXS VWXGHQWV ZKR SDLG WKH LQ VWDWH LQ GLVWULFW WXLWLR
DQ\ 7LWOH ,9 IHGHUO VWXGHQW DLG



&2\$ 5HYLVLQRV
5HYLVLQRV WR &RVW RI \$WWHQGDQFH XVHG WR FDOFXODWH WKH QHW SULFH RI DWW
&RQVXOW WKH LQVWUXFWLRQV DQG VFUHHQV WR PDNH VXUH \RX DUH UHSRUWLQJ WK
VWXGHQWV

&ROOHJH \$IIRUGDELQW\ DQG 7UDQVSDUHQF\ /LVWV
1HW SULFH DPRXQV FDOFXODWHG LQ 6)\$ ZLOO EH XVHG WR SRSXODWH WKH 'HSDUW
OLWV

,QWHUDFWLYH (GLWV DQG (UURU 0HVVDJHV
6)\$ FRQWDLQV LQWHUDFWLYH HGLWV WKDW ZLOO FKHFN IRU EODQN ILHOGV LQYDOLG
UDQJHV 6RPH HUURU PHVVDJHV ZLOO UHTXLUH \RX WR FRQILUP RU H[SODLQ WKH YDQ
PHVVDJHV DUH IDWDO DQG ZLOO UHTXLUH \RX WR FRQWDFW WKH ,3('6 +HOS 'HVN DW

&RQWH[W %R[HV
<RX ZLOO ILQG RSWLRQDO WH[W ER[HV WKURXJKRXW 6)\$ &RQWH[W ER[HV DOORZ \RX
\RX HQWHU 6RPH RI WKHVH FRQWH[W ER[HV PD\ EH PDGH DYDLODEOH WR WKH SXEOL
WKH LQIRUPDWLRQ \RX HQWHU FDQ EH XQGHUVWRRG HDVLO\ E\ VWXGHQWV SDUHQWV

5HVRXUFHV

7R GRZQORDG WKH VXUYH\ PDWHULDOV IRU WKLV FRPSRQHQQW 6XUYH\ ODWHULDOV
7R DFFHVV \RXU SULRU \HDU GDWD VXEPLVVLRQ IRU WKLV FRPSRQHQQW 5HSRUWHG 'D

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

6HFWLRQ 3DUW \$
3DUW \$ (VWDEOLVK <RXU *URXSV

3DUW \$ HVWDEOLVKHV WKH QXPEHU RI VWXGHQWV LQ YDULRXV JURXS 1RWH WKDW W
IRUZDUG WR RWKHU SDUVV RI WKH 6WXGHQW)LQDQFLDO \$LG FRPSRQHQW

,Q WKH ILHOGV EHZRZ UHSRUW WKH QXPEHU RI VWXGHQWV LQ HDFK RI WKH IROORZLQ

?)DC <285 35,25
<(\$5 '\$7\$
)DOO

*URXS
\$OO XQGHUJUDGXDWV VWXGHQWV

*URXS
21 WKR VH LQ *URXS WKR VH ZKR DUH IXOO WLPH ILUVW WLPH GHJUHH FHUWLILFDW

D 21 WKR VH LQ *URXS WKR VH ZKR ZHUH DZDUGHG DQ\)HGHUO :RUN 6WXG\ ORD
VWXGHQWV RU JUDQW RU VFKRODUVKLS DLG IURP WKH IHGHUO JRYHUQPHQW V
JRYHUQPHQW WKH LQVWLWXWLRQ RU RWKHU VRXUFHV NQRZQ WR WKH LQVWLWX

E 21 WKR VH LQ *URXS WKR VH ZKR ZHUH DZDUGHG DQ\ ORDQV WR VWXGHQWV RU J
VFKRODUVKLS DLG IURP WKH IHGHUO JRYHUQPHQW VWDWH ORFDO JRYHUQPHQW

*URXS
21 WKR VH LQ *URXS WKR VH ZKR SDLG WKH LQ VWDWH RU LQ GLVWULFW WXLWLRQ U
JUDQW RU VFKRODUVKLS DLG IURP WKH IHGHUO JRYHUQPHQW VWDWH ORFDO JRYH
LQVWLWXWLRQ

*URXS

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

6HFWLRQ 3DUW %

3DUW % (QWHU ,QIRUPDWLRQ \$ERXW *URXS

3DUW % LQFOXGHV LQIRUPDWLRQ DERXW *URXS *URXS VWXGHQWV DUH DOO XQGHU

)RU WKLW SDUW UHSRUW

)RU 7KHVH 6WXGHQWVWKH)ROORZLQJ 7\SH V R\$ZDLG LQ 7KLW 3HULRG

\$OO XQGHUJUDGXE *UDQW RU VFKROD \$Q\ WLPH GXULQJ DFDGHPLF
HQUROOHG LQ)DO IURP WKH IHGHU \HDU

JRYHUQPHQW

*UDQW RU VFKRODUVKLS DLG

IURP VWDWH ORFDO

JRYHUQPHQW

*UDQW RU VFKRODUVKLS DLG

IURP WKH LQVWLWXWLRQ

*UDQW RU VFKRODUVKLS DLG

IURP RWKHU VRXUFHV NQRZQ

WR WKH LQVWLWXWLRQ

/RDQV WR VWXGHQWV IURP

WKH IHGHUDO JRYHUQPHQW

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

6HFWLRQ 3DUW & 3DJH
3DUW & 3DJH (QWHU ,QIRUPDWLRQ DERXW *URXS

6WDWH ORFDO JRYHUQPHQW JUDQWV RU				
VFKRODUVKLSV				
JUDQWV VFKRODUVKLSV ZDLYHUV				
,QVWLWXWLRQDO JUDQWV RU VFKRODUVKLSV				
VFKRODUVKLSV IHOORZVKLSV				
/RDQV WR VWXGHQWV				
D)HGHUDO ORDQV				
E 2WKHU ORDQV LQFOXGLQJ				
SULYDWH ORDQV				

<RX PD\ XVH WKH VSDFH EHZRZ WR SURYLGH FRQWH[W IRU WKH GDWD \RX YH UHSRU
PD\ EH SRVWHG RQ WKH &ROOHJH 1DYLJDWRU ZHEVLWH DQG VKRXOG EH ZULWWHQ WR
SDUHQWV)RU H[DPKi20.858820.8UHQWV LJ

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

6HFWLRQ 3DUW '
3DUW ' (QWHU ,QIRUPDWLRQ DERXW *URXS

3DUW ' LQFOXGHV ILQDQFLDO DLG LQIRUPDWLRQ DERXW *URXS *URXS VWXGHQWV D
VHHNLQJ XQGHUJUDGXDWV VWXGHQWV HQUROOHG LQ)DOO ZKR SDLG WKH LQ VWDW
JUDQW RU VFKRODUVKLS DLG IURP WKH IROORZLQJ VRXUFHV WKH IHGHUDO JRYHUQPH
7KH LQIRUPDWLRQ \RX UHSRUW LQ WKLV SDUW ZLOO EH XVHG LQ 3DUW) WR FDOFXODV
)RU WKLV SDUW UHSRUW

)RU 7KHVH 6WXGHQWV 7KH)ROORZLQJ 7\SH V RZDUGHG LQ 7KLV 3HULRG

)XOO WLPH ILUVW V *UDQW RU VFKRODUVKL \$Q\ WLPH
GHJUHH FHUWLILFDV IHGHUDO JRYHUQPHQW GXULQJ
XQGHUJUDGXDWV VV *UDQW RU VFKRODUVKL DFDGHPLF \HDU
HQUROOHG LQ)DOO VWDWH ORFDO JRYHUQI
WKH LQ VWDWH RU L *UDQW RU VFKRODUVKLS DLG IURP WKH
WXLWLRQ UDWL DQG LQVWLWXWLRQ
JUDQW RU VFKRODUVKLS 'R QRW LQFOXGH JUDQW RU VFKRODUVKLS
WKH IROORZLQJ VRX DLG IURP SULYDWH RU RWKHU VRXUFHV
IHGHUDO JRYHUQPHQW
VWDWH ORFDO JRYHUQPHQW RU
WKH LQVWLWXWLRQ
'R QRW LQFOXGH VWXGHQWV ZKR
ZHUH DZDUGHG RQO\ JUDQW RU
VFKRODUVKLS DLG IURP SULYDWH
RU RWKHU VRXUFHV RU VWXGHQWV
ZKR ZHUH DZDUGHG RQO\ QRQ
JUDQW DLG

,Q WKH ILHOGV EHORZ UHSRUW WKH QXPEHU RI *URXS VWXGHQWV ZLWK HDFK \SH
DPRXQW RI JUDQW RU VFKRODUVKLS DLG IURP WKH IHGHUDO JRYHUQPHQW VWDWH OR
DZDUGHG WR WKHVH VWXGHQWV

,QIRUPDWLRQ IURP 3DUW \$ <285 <285
35,25 35,25
<(\$5 '\$7&(\$5 '\$7\$

*URXS
)XOO WLPH ILUVW WLPH GHJUHH FHUWLILFDWH VHHNLQJ XQGHUJUDGXDWV VWXGHQWV
WKH LQ VWDWH RU LQ GLVWULFW WXLWLRQ UDWL DQG ZHUH DZDUGHG JUDQW RU VFKR
IURP WKH IROORZLQJ VRXUFHV WKH IHGHUDO JRYHUQPHQW VWDWH ORFDO JRYHUQPH
WKH LQVWLWXWLRQ
7KLV QXPEHU LV FDUULHG IRUZDUG IURP 3DUW \$ /LQH

5HRSRUW WKH QXPEHU RI *URXS VW <285 <285
IROORZLQJ OLYLQJ DUUDQJHPHQWV 35,25 35,25
<(\$5 '\$7&(\$5 '\$7\$

D 2Q FDPSXV
E 2II FDPSXV ZLWK IDPLO\
F 2II FDPSXV QRW ZLWK IDPLO\
G 8QNQRZQ FDOFXODWHG
7KLV YDOXH LV FDOFXODWHG XVLQJ WKH
IROORZLQJ IRUPXOD >\$
' D ' E ' F @

5HRSRUW WKH WRWDO DPRXQW RI JUDQW RU VFKRODUVKLS DLG IURP
WKH IHGHUDO JRYHUQPHQW VWDWH ORFDO JRYHUQPHQW RU WKH
LQVWLWXWLRQ DZDUGHG WR *URXS VWXGHQWV
\$YHUDJH JUDQW RU VFKRODUVKLS DLG IURP WKH IHGHUDO
JRYHUQPHQW VWDWH ORFDO JRYHUQPHQW RU WKH LQVWLWXWLRQ
DZDUGHG WR *URXS VWXGHQWV FDOFXODWHG YDOXH
7KLV YDOXH LV FDOFXODWHG XVLQJ WKH IROORZLQJ IRUPXOD
>' \$ @

<RX PD\ XVH WKH VSDFH EHORZ WR SURYLGH FRQWH[W IRU WKH GDWD \RX YH UHSRUW

[Empty rectangular box for data entry]

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ &DPSXV
8VHU , ' 3

6HFWLRQ 3DUW)

3DUW) ± 1HW 3ULFH &DOFXODWLRQ IRU *URXS

7KH IROORZLQJ QHW SULFH FDOFXODWLRQ LV EDVHG RQ LQIRUPDWLRQ WKDW \RXU LQV
&KDUDFWHULVWLFV FRPSRQH QW DQG WKH 6WXGHQW)LQDQFLDO \$LG FRPSRQH QW)RU
LQVWLWXWLRQ UHSRUWHG LQ WKH ,QVWLWXWLRQDO &KDUDFWHULVWLFV FRPSRQH QW

<285 35,25 <285 35,25
<(\$5 '\$7\$ <(\$5 '\$7\$

&RPSRQH QWV RI FRVW RI DWWHQGDQFH

3XEOLVKHG WXLWLRQ DQG UHTXLUHG IHHV ORZHU RI LQ GLVWULFW RU LQ
VWDWH

%RRNV DQG VXSSOLHV

5RRP DQG ERDUG DQG RWKHU H[SHQVHV

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ & DPSXV
(GLW 5HSRUW

6WXGHQW)LQDQFLDO \$LG

8QLYHUVLW\ RI 6RXWK)ORULGD 0DLQ & DPSXV

6RXU FH 'HVFULSWLRQ 6HYHULW\5HVROY HG2SWLRQV

6FUHHQ 3DUW ((QWHU ,QIRUPDWLRQ DERXW *URXS

6FUHHQ KH QXPEHU HQWHUHG LV RXWVLGH SODQD BWSHFQW HG<UDQJH RI
(QWU\ EHWZHHQ DQG ZKHQ FRPSDUHG ZLWK WKH SULRU \HDU
YDOXH 3OHDVH FRUUHFW \RXU GDWD RU H[SCDLQ (UURU

5HDVRE ZHU XQNQRZQ VWXGHQWV QRZ SURSHUO\ FDWHJRULJHG

6FUHHQ KH QXPEHU HQWHUHG LV RXWVLGH SODQD BWSHFQW HG<UDQJH RI
(QWU\ EHWZHHQ DQG ZKHQ FRPSDUHG ZLWK WKH SULRU \HDU
YDOXH 3OHDVH FRUUHFW \RXU GDWD RU H[SCDLQ (UURU

5HDVRE ZHU XQNQRZQ VWXGHQWV QRZ SURSHUO\ FDWHJRULJHG

6FUHHQ KH FDOFXODWHG DYHUDJH DPRXQW RI JUDQW RU VFKRODUVKLS
(QWU\ DLG DZDUGHG WR *URXS VWXGHQWV IURP WKH IHGHUDO
JRYHUQPHQW VWDWH ORFDO JRYHUQPHQW RU WKH LQVWLWXWLRQ IRU
WKLV LQFRPH OHYHO LV VPDOOHU WKDQ H[SHFWHG LQ FRPSDULVRQ
ZLWK WKH RWKHU LQFRPH OHYHOV 3OHDVH FKHFN WKH YDOXH
UHSRUWHG IRU WKH QXPEHU RI WKHVH VWXGHQWV ZKR ZHUH
DZDUGHG DQ\ 7LWOH ,9 DLG &RO DQG WKH WRWDO DPRXQW RI
JUDQW RU VFKRODUVKLS DLG DZDUGHG WR WKHP &RO .961506.5(4 V)T. 72.9 0.87 HFN9 0.7HUH